

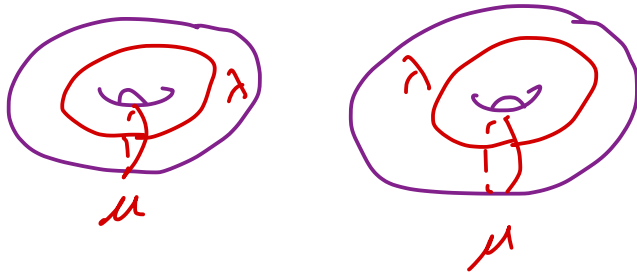
## Lecture 2

Heegaard splitting  
decomposition of  $Y = H_1 \cup H_2$



example:  $S^3 = B^3 \cup B^3 \xleftarrow{\text{glue } \partial}$

example:



$$\left. \begin{array}{l} \mu \mapsto \mu \\ \lambda \mapsto \lambda \end{array} \right\} \longrightarrow S^1 \times S^2$$

$$\left. \begin{array}{l} \mu \mapsto \lambda \\ \lambda \mapsto \mu \end{array} \right\} \longrightarrow S^3$$

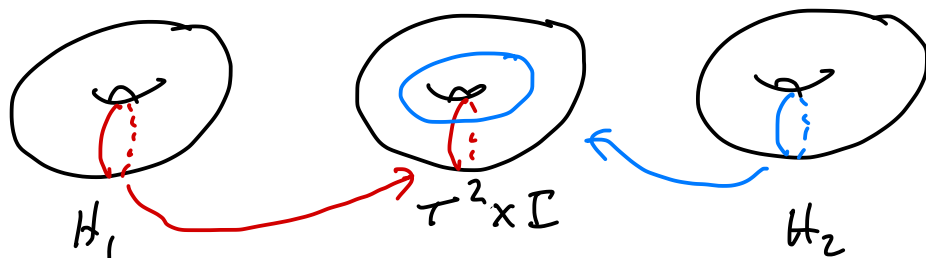
note:  $S^3 = \partial B^4$   
 $= \partial(B^2 \times B^2)$   
 $= (\partial B^2 \times B^2) \cup (B^2 \times \partial B^2)$   
 $= (S^1 \times B^2) \cup (B^2 \times S^1)$

exercise: every 3-manifold has a  
Heegaard splitting

another way to build  $M$

$$H_1 \xrightarrow{\quad} \Sigma_g \times I \xleftarrow{\quad} H_2$$

$$\Sigma_g \times \{0\} \quad \Sigma_g \times \{1\}$$



only need to say where red and blue curves go  
since can glue "thickened disks" along nbhd of  
curves

what is left is a 3-ball

only one way to do this

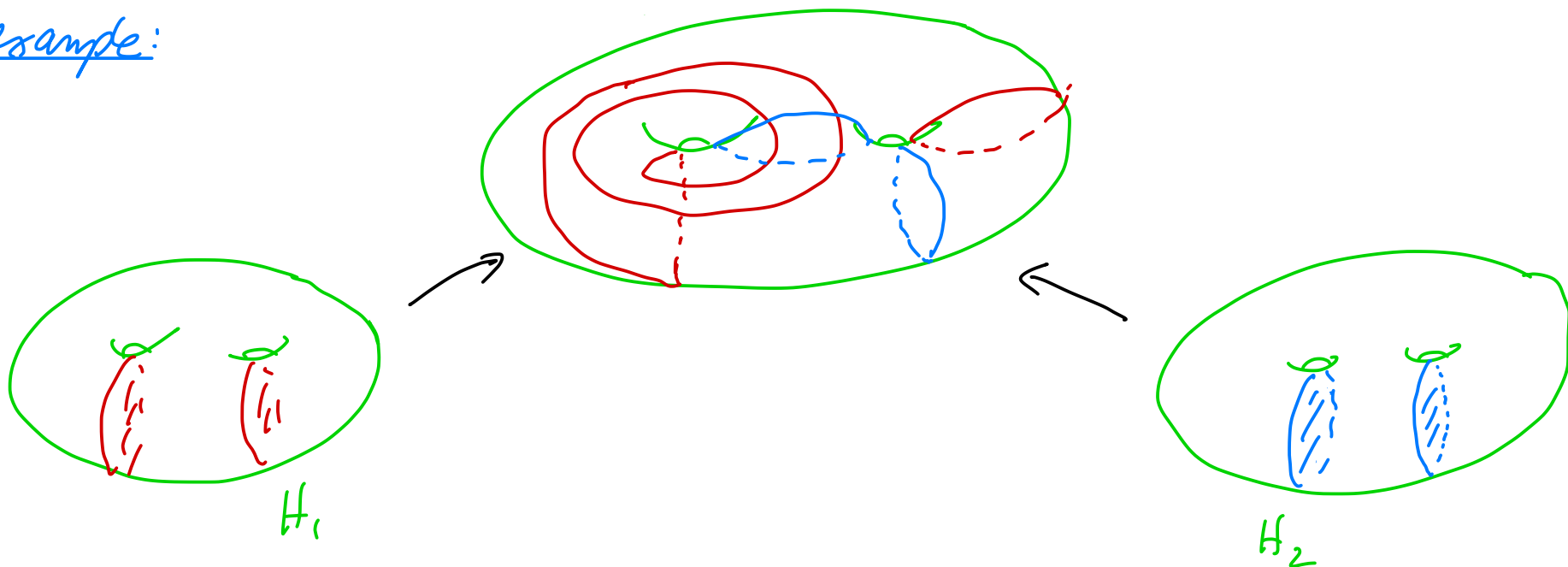
def<sup>n</sup>: a Heegaard diagram (of genus  $g$ ) is a surface  $\Sigma_g$  (of genus  $g$ )  
and two collections  $\bar{\alpha}$  and  $\bar{\beta}$  st.

- 1)  $\bar{\alpha}$  is a collection of  $g$  simple, closed, disjoint,  
non-self-intersecting curves on  $\Sigma_g$   
 $\bar{\alpha} = (\alpha_1, \dots, \alpha_g)$  st.  $\alpha_1, \dots, \alpha_g$  are lin.

independent in  $H_1(\Sigma_g, \mathbb{Z})$

2)  $\bar{\beta}$  " " "

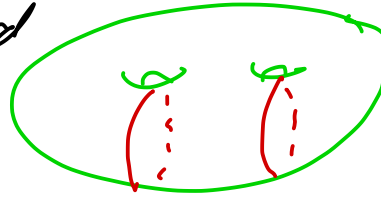
example:



exercise: attaching thickened disks (2-handles)  
along  $\alpha_1, \dots, \alpha_g$  results in boundary  $S^2$   
iff  $\alpha_1, \dots, \alpha_g$  are lin. indep. in  $H_1(\Sigma_g)$

## Remark:

- 1) can always reparameterize  $\Sigma_g$  so one set of curves is standard



- 2) any 3-manifold admits many Heegaard splittings

## Thm:

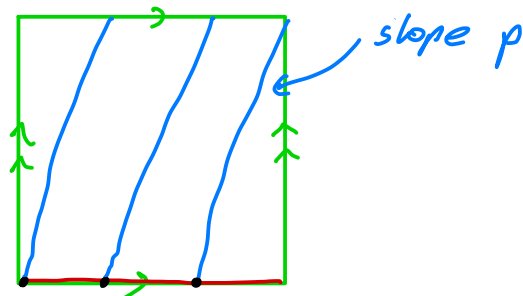
every 3-manifold  $Y$  admits a Heegaard splitting (diagram)

$\exists$  a set of Heegaard moves st.

any two Heegaard splittings are

related by some sequence of Heegaard moves

## example



exercise This is  $L(p, q)$

let  $Y$  be a 3-manifold w/ Heegaard diagram  
 $(\Sigma_g, \bar{\alpha}, \bar{\beta})$

Consider  $\text{Sym}^g(\Sigma_g) = \overbrace{\Sigma_g \times \dots \times \Sigma_g}^{g \text{ copies}} / S_g$   
↗ set of unordered  $g$ -tuples of points on  $\Sigma_g$   
↖ symmetric group

$$\Pi_\alpha = \alpha_1 \times \dots \times \alpha_g \subset \text{Sym}^g(\Sigma_g)$$

↖ set of unordered  $g$ -tuples  $(x_1, \dots, x_g)$   
 s.t. each  $x_j$  lies on distinct  $\alpha_j$

$$\Pi_\beta = \beta_1 \times \dots \times \beta_g \subset \text{Sym}^g(\Sigma_g)$$

Exercise:  $\text{Sym}^g(\Sigma_g)$  is a  $2g$ -dim'l manifold

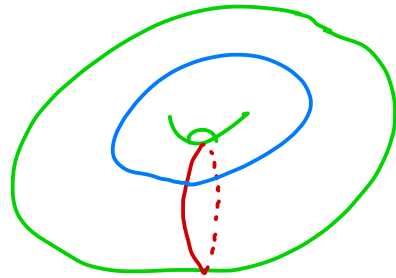
locally looks like  $\text{Sym}^g(\mathbb{C})$

$$(\alpha_1, \dots, \alpha_g) \longleftrightarrow (z - \alpha_1) \dots (z - \alpha_g)$$

$$z^g + b_{g-1}z^{g-1} + \dots + b_1z + b_0$$

look at  $\pi_\alpha \cap \pi_\beta$

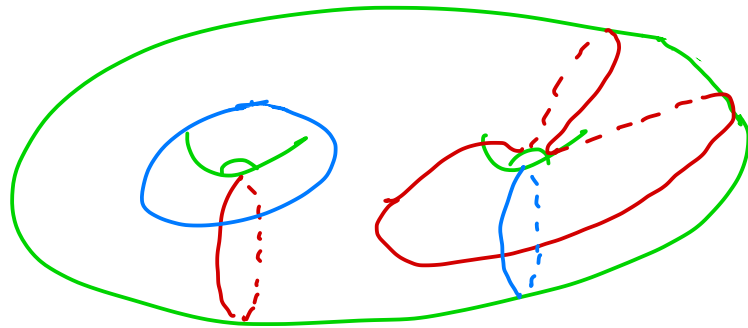
example:



genus 1 trivial

example: genus 2

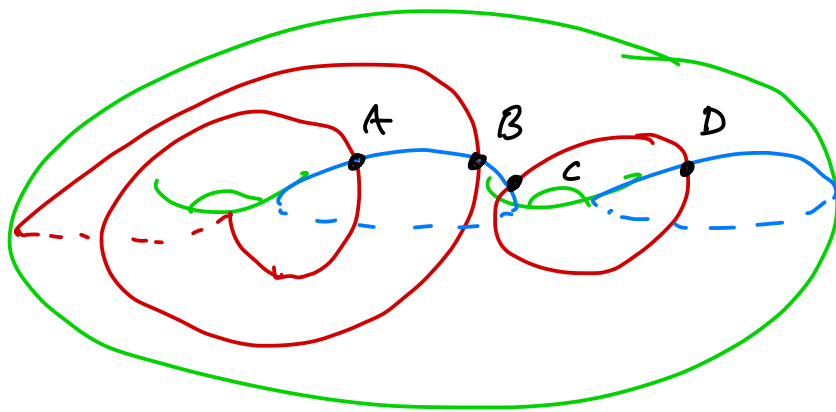
$\text{Sym}^2(\Sigma_2)$



exercise:  $\text{Sym}^2(\Sigma_2) = T^4 \# \mathbb{C}P^2$   
[Abel-Jacobi]

$\pi_\alpha, \pi_\beta$  are  $\tau^2$ 's in here

what are  $\pi_\alpha, \pi_\beta$



the points of  $\pi_\alpha \cap \pi_\beta$  are  $g$ -tuples  $(x_1, \dots, x_g)$

st. each  $x_i$  is on a distinct  $\alpha_j$

each  $x_i$  is on a distinct  $\beta_k$

one point must be D (since one blue only has D)

other point can't be C (since red curve already used by D)

so get  $(A, D)$  and  $(B, D)$

$$\widehat{CF} = \text{span}_{\mathbb{F}} \{ \pi_\alpha \cap \pi_\beta \}$$

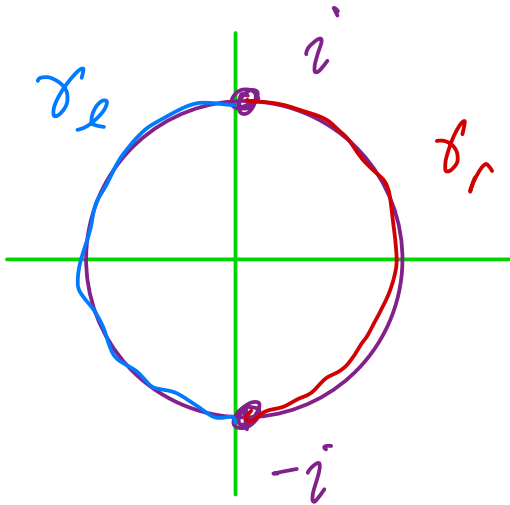
## Whitney disk

$$\begin{array}{l} \nearrow (\xi_1, \dots, \xi_g) \\ \searrow (\eta_1, \dots, \eta_g) \end{array}$$

def<sup>n</sup>: let  $\bar{x}, \bar{y} \in \pi_\alpha \cap \pi_\beta$

a Whitney disk from  $\bar{x}$  to  $\bar{y}$   
is a continuous map

$$\phi: D^2 \rightarrow \text{Sym}^g(\Sigma_g)$$



$$1) \phi(-i) = \bar{x}$$

$$\phi(i) = \bar{y}$$

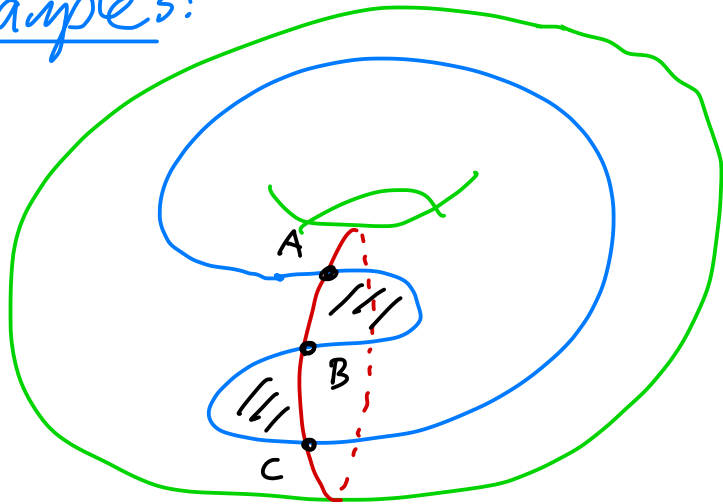
$$2) \phi(\gamma_r) \subset \pi_\alpha$$

$$\phi(\gamma_\ell) \subset \pi_\beta$$

given  $\bar{x}, \bar{y}$  denote  $\pi_2(\bar{x}, \bar{y}) =$  homotopy classes of  
Whitney disks  $\bar{x}$  to  $\bar{y}$



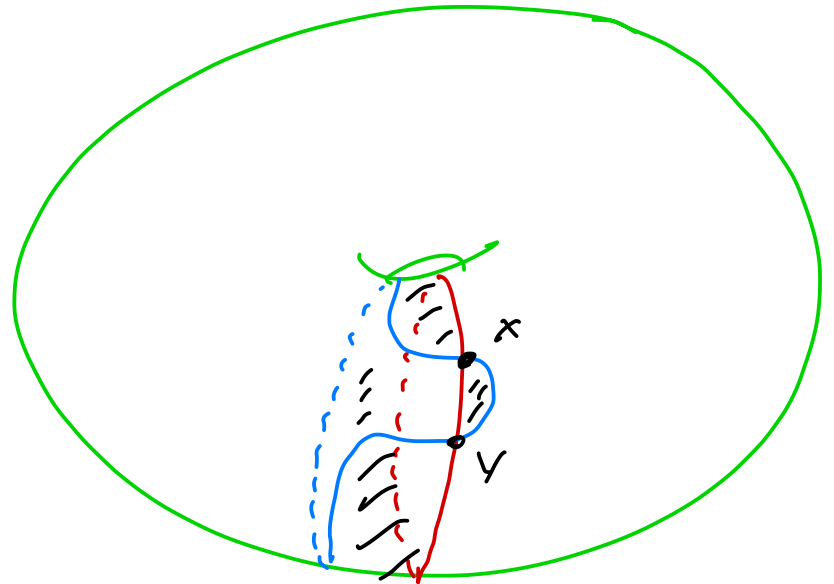
examples:



$$\pi_2(A, B) = \{1 \text{ disk}\}$$

$$\pi_2(C, B) = \{1 \text{ disk}\}$$

$$\pi_2 \text{ others} = \emptyset$$

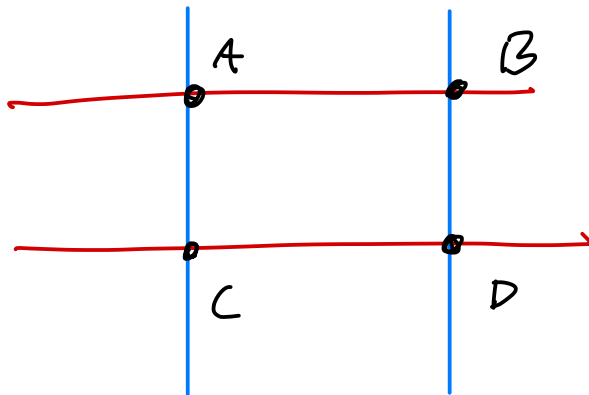


$$\pi_2(x, y) = \{2 \text{ disks}\}$$

$$\pi_2(y, x) = \emptyset$$

examples:

genus - 2      portion of diagram is



generators:  $(A, D)$ ,  $(B, C)$   
 $\overline{x}^u$        $\overline{y}^u$

let  $r =$  reflection through center of square

$$D = \{ (x, rx) \mid x \text{ in above square} \} \subset \text{Sym}^2(\Sigma_2)$$

this contains an arc  $a$  from  $\bar{x}$  to  $\bar{y} \subset \pi_\alpha$   
" "  $b$  from  $\bar{x}$  to  $\bar{y} \subset \pi_\beta$