

## Lecture 4

Introduction to knot Floer  
 (applications, examples, formal properties)

aside involving  $\text{spin}^c$  structures

$$\Phi \in \pi_2(\bar{x}, \bar{y})$$

$$\bar{x}, \bar{y} \in \overline{\pi_x} \cap \overline{\pi_y}$$

there may be a homological restriction to the  
 existence of Whitney disk from  $\bar{x}$  to  $\bar{y}$

pick arc  $a$  with  $\partial a = \bar{x} - \bar{y}$  in  $\overline{\pi_x}$

" "  $b$  with  $\partial b = \bar{x} - \bar{y}$  in  $\overline{\pi_y}$

$$\text{if } \underbrace{a-b}_{\substack{\text{independent} \\ \text{of choice in}}} \in H_1(\text{Sym}^g(\Sigma_g)) / \overbrace{l_*(H_1(\overline{\pi_x})) \oplus l_*(H_1(\overline{\pi_y}))}^{\text{exercise:}}$$

$$= H_1(\Sigma_g) / \langle \alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g \rangle$$

$$= H_1(Y)$$

so  $\widehat{CF}^-$  split over  $H_1(Y)$  "spin<sup>c</sup> structures"

Question: about knots

1) Distinguishing knots

knot Floer strictly stronger than Alexander poly.

2) Knot detection:

unknot, trefoil (Right and Left),

figure 8,  $5_1, 5_2$ , misc. others + some links

3) Detects Seifert genus

4) Detects fiberedness

Questions: "things between" knots

1) sliceness/slice genus/concordance

knot Floer bounds slice genus from below

$\mathcal{C}$  concordance group

- How big is  $\mathcal{C}$ ?

- What kind of torsion is in  $\mathcal{C}$ ?

- Generating questions of types of knots

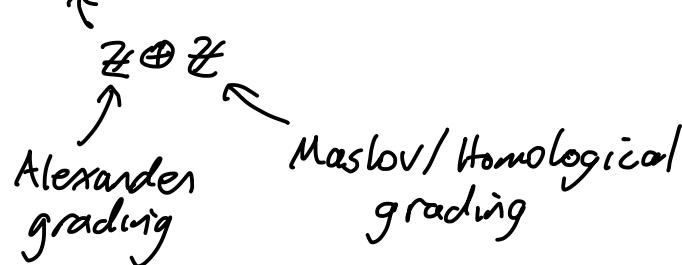
## 2) Exotic phenomena for slice surfaces

$\Sigma, \Sigma'$  slice surfaces for  $K$  st.

$\Sigma, \Sigma'$  topologically isotopic

$\Sigma, \Sigma'$  smoothly not isotopic

$\widehat{HFK}$ : bigraded vector space  $\mathbb{F}$



$$\widehat{HFK}(K) = \bigoplus_{s \text{ Alexander grading}} \widehat{HFK}(K, s) = \bigoplus_i \widehat{HFK}_i(K, s)$$

example:

unknot  $s=0 \quad \mathbb{F}_0$

trefoil (right handed)  $s=1 \quad \mathbb{F}_2$

$s=0 \quad \mathbb{F}_1$

$s=-1 \quad \mathbb{F}_0$

figure 8

$$\begin{array}{ll} s = -1 & \mathbb{F}_1 \\ s = 0 & \mathbb{F}_0^3 \\ s = 1 & \mathbb{F}_{-1} \end{array}$$

$Wh_+$  (trefoil)



$$s = 1 \quad \mathbb{F}_{-1}^2 \oplus \mathbb{F}_0^2$$

$$s = 0 \quad \mathbb{F}_{-2}^4 \oplus \mathbb{F}_{-1}^3$$

$$s = -1 \quad \mathbb{F}_{-3}^2 \oplus \mathbb{F}_{-2}^2$$

$Th^m$ :

$$\sum_{s \in \mathbb{Z}} \chi(\widehat{HFK}(K, s)) t^s = \Delta_K(t) \quad \text{Alexander polynomial}$$

example:

unknot  $\Delta = 1$

trefoil  $\Delta = t - 1 + t^{-1}$

figure 8  $\Delta = -t + 3 - t^{-1}$

any untwisted Whitehead double has  $\Delta = 1$

Th<sup>m</sup>:

$$g_3(K) \geq \text{degree } (\Delta_K)$$

$$g_3(K) = \max_{s \in \mathbb{Z}} \{ s \mid \widehat{\text{HFK}}_s(K, s) \neq 0 \}$$

Th<sup>m</sup>:

If  $K$  is fibered then  $\Delta_K(t)$  is monic

$K$  is fibered  $\Leftrightarrow \widehat{\text{HFK}}(K, g_3(K))$  has dim 1

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$\text{HFK}^-(K)$ : bigraded  $\mathbb{F}[U]$ -module

$$\text{HFK}^-(K) = \bigoplus_s \text{HFK}^-(K, s)$$

multiplication by  $U$  decreases

hom. grading by 2 and  
Alex. grading by 1

define  $gr_u$  = homological grading

$gr_v$  = homological grading - 2 Alexander grading

so mult by  $V$  preserves  $\text{gr}_v$  grading

$\swarrow (\text{gr}_u = 0)$

$$\text{HFK}^- = \begin{array}{c} \bullet \\ | \\ \bullet \\ | \\ \vdots \\ | \\ \bullet \end{array} \oplus \begin{array}{c} \bullet \\ | \\ \bullet \\ | \\ \vdots \\ | \\ \bullet \end{array}$$

$\curvearrowright$   
 $V\text{-torsion}$

$V\text{-tower}$   
(only one)

$$\tau(K) = -\frac{1}{2}(\text{gr}_v\text{-grading in which } \mathbb{F}[V]\text{-tower is located})$$

Thm:

$$K_1 \text{ concordant to } K_2 \Rightarrow \tau(K_1) = \tau(K_2)$$

$$\tau: \mathcal{C} \rightarrow \mathbb{Z} \text{ homomorphism}$$

example:  $\tau(\text{unknot}) = 0$

$$\tau(\text{trefoil}) = 1$$

$$\tau(\text{Wh}_+(\text{trefoil})) = 1$$

Open problem: is  $\text{Wh}_-(\text{right trefoil})$  slice

Th<sup>m</sup>:

any knot with Alexander poly 1  
is topologically slice

so Wh<sub>t</sub>(RHT) topologically, not smoothly, slice

Def:  $\text{Ord}_U(K) = \min_n \{ \text{s.t. } U^n \text{HFK}_{\text{red}}^-(K) = 0 \}$

↑  
i.e. max length of  
 $U$ -torsion tower

Th<sup>m</sup>(JMJZ):

If  $K$  is ribbon knot, then

# of bands in  
any ribbon disk  $\geq \text{Ord}_U(K)$

Exotic pairs of disks/surfaces

Want  $\Sigma, \Sigma'$  for  $K$  st.

①  $\Sigma$  and  $\Sigma'$  are topologically  
isotopic rel  $K$

ex: if  $\Sigma, \Sigma'$  disks with

$$\pi_1(B^4 - \Sigma) = \pi_1(B^4 - \Sigma') = \mathbb{Z}$$

then  $\Sigma, \Sigma'$  topologically  
isotopic

② if  $\Sigma$  is a knot cobordism from  $K_1$  to  $K_2$   
then get

$$F_\Sigma : \text{HFK}(K_1) \rightarrow \text{HFK}(K_2)$$

if  $\Sigma, \Sigma'$  are smoothly isotopic  
rel boundary  $F_\Sigma = F_{\Sigma'}$ ,